



Corporate Office:  
Airport Building 302, 1<sup>st</sup> Floor,  
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**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2023**  
(Pursuant to Securities and Exchange Board of India  
(Prohibition of Insider Trading) Regulations, 2015)

I, Sanjay Kumar Babu, Compliance Officer of GMR Warora Energy Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and I certify that:

1. the Company has a Structured Digital Database in place;
2. control exists as to who can access the SDD;
3. all the UPSI disseminated in the previous quarter have been captured in the Database;
4. the system has captured nature of UPSI along with date and time;
5. the database has been maintained internally and an audit trail is maintained;
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one number of event during the quarter ended September 30, 2023 and has captured the said required event.

I would like to report that no non-compliance(s) was observed in the previous quarter.

**For GMR Warora Energy Limited**

Sanjay

Kumar Babu

Digitally signed by  
Sanjay Kumar Babu  
Date: 2023.11.30  
12:28:17 +05'30'

**Sanjay Kumar Babu**  
**Compliance Officer**

*Regd. Office:*

701/704, 7<sup>th</sup> Floor, Naman Centre,  
A Wing Bandra Kurla Complex, Bandra (East),  
Mumbai, Maharashtra, India - 400051

*Site Office:*

Plot No. B-1 & B7, Mohabala MIDC Growth Centre,  
Post & Tehsil- Warora, Dist. Chandrapur,  
Maharashtra 442907